

UNITED STATES PATENT AND TRADEMARK OFFICE

FUE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

23565

7590

12/06/2004

KLAUBER & JACKSON 411 HACKENSACK AVENUE HACKENSACK, NJ 07601 EXAMINER KETTER, JAMES S

ART UNIT

PAPER NUMBER

1636

DATE MAILED: 12/06/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|-----------------|-------------|----------------------|---------------------|------------------|--|
| 10/076.060 | 02/14/2002 | Tatiana Segura | 1720-1-003N | 8403 | |

TITLE OF INVENTION: CONTROLLED SURFACE-ASSOCIATED DELIVERY OF GENES AND OLIGONUCLEOTIDES

| APPLN. TYPE | APPLN. TYPE SMALL ENTITY | | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE | |
|----------------|--------------------------|-------|-----------------|------------------|------------|--|
| nonprovisional | YES | \$685 | \$0 | \$685 | 03/07/2005 | |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

| | | | or <u>Fax</u> | (703) 746-4000 | - g | | |
|--|---|--|--|---|--|---|--|
| INSTRUCTIONS: This for appropriate. All further continuous corrected maintenance fee notification. | orm should be used for tran rrespondence including the l below or directed otherwise ns. | smitting the ISSU Patent, advance of in Block 1, by (a | JE FEE and PUBLIC rders and notification a) specifying a new c | OATION FEE (if red of maintenance fees orrespondence addre | quired). Blocks 1 through 5 s will be mailed to the current ss; and/or (b) indicating a sep | should be completed where t correspondence address as arate "FEE ADDRESS" for | |
| | CE ADDRESS (Note: Use Block 1 for | any change of address) | | Note: A certificate | of mailing can only be used f | or domestic mailings of the | |
| | | | | Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing must | | | |
| 23565 7590 12/06/2004 | | | | have its own certificate of mailing or transmission. | | | |
| KLAUBER & JA | | | | Though tie at | Certificate of Mailing or Tran | smission | |
| 411 HACKENSAC HACKENSACK, I | | | | I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below. | | | |
| MACKENSACK, | 143 07001 | | | transmitted to the US | SPTO (703) 746-4000, on the | date indicated below. | |
| | | | | | | (Depositor's name) | |
| | | | | | | (Signature) | |
| | | | | | | (Date) | |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVEN | TOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
| 10/076,060 | 02/14/2002 | | Tatiana Segura | | 1720-1-003N | 8493 | |
| TITLE OF INVENTION: C | ONTROLLED SURFACE-A | ASSOCIATED DI | ELIVERY OF GENES | S AND OLIGONUCI | LEOTIDES | | |
| | | | | | | | |
| | | | | | | | |
| APPLN. TYPE | SMALL ENTITY | ISSUE F | EE PU | JBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE | |
| nonprovisional | YES | \$685 | | \$0 | \$685 | 03/07/2005 | |
| EXAMINER | | ART UN | ir C | ASS-SUBCLASS | ٦ | | |
| | JAMES S | 1636 | | | | | |
| | | | | | | | |
| CFR 1.363). | e address or indication of "Fe | ` | | the patent front page, | • | | |
| Change of correspond | dence address (or Change of (22) attached. | Correspondence | or agents OR, alternatively, | | | | |
| "Fee Address" indicate | tion (or "Fee Address" Indica | tion form | (2) the name of a s | single firm (having as or agent) and the na | s a member a 2 | | |
| PTO/SB/47; Rev 03-02 Number is required. | tion (or "Fee Address" Indica or more recent) attached. Use | of a Customer | 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | | | | |
| | RESIDENCE DATA TO BI | E PRINTED ON T | | | | | |
| | | | | / | gnee is identified below, the d | ocument has been filed for | |
| recordation as set forth ir | 1 37 CFR 3.11. Completion of | of this form is NO | Γ a substitute for filing | g an assignment. | • | | |
| (A) NAME OF ASSIGN | EE | (B |) RESIDENCE: (CIT | Y and STATE OR CO | OUNTRY) | | |
| | | | | | | | |
| | | | | | | _ | |
| | ······································ | ries (will not be pri | inted on the patent): | Individual 🔲 | Corporation or other private gro | oup entity Government | |
| 4a. The following fee(s) are | enclosed: | 4b | . Payment of Fee(s): | | | | |
| Issue Fee | 0 2 0 0 1 | | A check in the amount of the fee(s) is enclosed. | | | | |
| | mall entity discount permitted FCopies | | Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to | | | | |
| Advance Order - # of | Copies | | Deposit Account Nur | nber | charge the required fee(s), or (enclose an extra co | credit any overpayment, to opy of this form). | |
| 5. Change in Entity Status | (from status indicated above) |) | _ | | | | |
| | MALL ENTITY status. See 3 | | | | ALL ENTITY status, See 37 CI | | |
| The Director of the USPTO NOTE: The Issue Fee and P interest as shown by the reco | is requested to apply the Issu- ublication Fee (if required) words of the United States Pate | e Fee and Publicat rill not be accepted nt and Trademark | ion Fee (if any) or to a from anyone other the Office. | re-apply any previous an the applicant; a re | sly paid issue fee to the applica gistered attorney or agent; or th | tion identified above. le assignee or other party in | |
| Authorized Signature | | | Date | | | | |
| Typed or printed name _ | | | Registration No. | | | | |
| This collection of informatic | on is required by 37 CFR 1.31 | 1. The information | n is required to obtain | _ | | | |
| an application. Confidential submitting the completed ap | ity is governed by 35 U.S.C. oplication form to the USPTC | 122 and 37 CFR 1 D. Time will vary | .14. This collection is depending upon the in | s estimated to take 12 ndividual case. Any of | the public which is to file (and minutes to complete, including comments on the amount of time of Trademork Office, U.S. Deposit | g gathering, preparing, and ne you require to complete | |

this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO 10/076,060 02/14/2002 Tatiana Segura 1720-1-003N 8493 23565 7590 12/06/2004 EXAMINER KLAUBER & JACKSON KETTER, JAMES S 411 HACKENSACK AVENUE ART UNIT PAPER NUMBER HACKENSACK, NJ 07601

1636

DATE MAILED: 12/06/2004

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 321 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 321 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.